

## Clio's Claim: The Role of Historical Research in Library and Information Science

By: ORVIN LEE SHIFLETT

[Shiflett, Orvin Lee.](#) "Clio's Claim: The Role of Historical Research in Library and Information Science." *Library Trends* 32 (Spring 1984): 385-406.

Made available courtesy of University of Illinois Press: <http://www.ideals.illinois.edu/handle/2142/999>

**\*\*\*Note: Figures may be missing from this format of the document**

### *Introduction*

JESSE SHERA'S DEMAND FOR "the cooperation of scholars and scientists from a variety of disciplines in a team attack upon problems of great complexity,"<sup>1</sup> voiced in the last issue of *Library Trends* devoted to research, holds more than passing import for the historian concerned with libraries. Examples of highly productive cooperative efforts do exist in many disciplines, but history is traditionally a solitary pursuit and historians have infrequently collaborated successfully on anything of value or worth. As often as not, historians disagree about the significance of their findings and, sometimes they disagree that the findings have significance at all. However, historical study as an approach to library and information science research cannot exist independently of other research approaches. And, when combined with them, it has the potential to share in the cooperative effort at ultimate understanding addressed by Shera. Historical research is much more synthetic and eclectic in its approach than other research methods, using concepts and conclusions from many other disciplines to explore the historical record and to test the conclusions arrived at by other methodologies.

Many methods used alone or in conjunction with other supporting techniques of data collection and analysis can adequately demonstrate that some particular situation or relationship between variables exist in the present. But the persistence and permanence of these conclusions will always be questionable without historical verification. The results of other research can and should act as a guide to the historian, pointing to potentially fruitful areas of research that can further test the conclusions of other social science approaches. While the use of "analogies and comparisons evoked by some other discipline" in historical scholarship is always questionable unless the analysis stands the test of rigorous historical standards,<sup>2</sup> these borrowings do offer a point where history can participate and perhaps even lead in the search for a cooperative solution to the research needs of library and information science.

History can never aspire to be a primary methodology in library and information science research. The mere existence of a separate Library History Round Table (LHRT) and a Library Research Round Table (LRRT) with essentially distinct memberships within the structure of the American Library Association (ALA) indicates the degree of estrangement between those who concern themselves with research using the techniques of the more rigorous social sciences and those interested in the history of libraries and librarianship. The community of library historians looks at much library and information science research as if "some rough beast, its hour come around at last, slouches towards Bethlehem to be born," while other researchers have tended to discount the value of historical study as mere antiquarianism. Both groups have ignored the fact that the value of research is not determined by the approach, but by results and conclusions.

It has been often asserted that libraries do not exist in a vacuum. They are not isolated from other institutions of information and culture in which they have their organizational existence. A school library without a school to serve is never found. An academic library without a college or university cannot exist. Even the New York Public Library does not represent an institution totally independent of the fortunes of New York City. The very existence of libraries and information centers depends not so much on their relation to their users and information sources as to the parent organization—scholastic, municipal, or private—that they serve. The problems of research into the nature of library and information science are therefore much more complex than it

has often been viewed: it is not the simple relationship of information to user, but that relation as filtered through an organizational structure that has an historical relation to the library that serves it.

Library history has been criticized—often correctly—for its lack of rigor. This charge is no different from that leveled against history written by the professional historian. Compared with the forms and language adapted from the natural sciences to the social sciences and from there to library and information science, history is at best an impressionistic form of research more closely akin to literary research than physics. But empirical research has come to be widely recognized as useful to the historian. As a "somewhat uncritical and even complacent discipline," history should benefit from the results of other disciplines applied to library and information science to at least force library "historians to criticize their assumptions, to expose their premises, to tighten their logic, to pursue and respect their facts, [and] to restrain their rhetoric."<sup>3</sup>

It is in this way that historical research may respond to Shera's challenge and contribute to the evolving paradigm of library research. Each of the hypotheses advanced by other forms of research is testable as an historical phenomenon. Historical phenomena are also testable by any number of survey and other methodologies commonly used in library and information science research. The role of historical study must be interactive with other forms of research. The very looseness of historical methods allows the historian to explore a vast number of problems that are approachable only in one or two aspects by other methodologies. It is in this capacity that is found both the strength and weakness of historical study in library and information science.

### *Current Status of Library History*

History is a major research methodology in library and information science as measured by the amount written, but its popularity has dramatically decreased in recent years. This is evident in the types of research projects that are being accepted by doctoral committees. Historical research constituted 33.2 percent of the methodological approaches to doctoral research from 1925 until 1972. From 1973 to 1981, historical methods accounted for only 15.6 percent of the efforts.<sup>4</sup> There are undoubtedly many possible reasons for this, but the major one seems to be the pervasive belief of some doctoral committees and dissertation advisors that historical research represents wasted effort. Research using methods adopted from the more rigorous social sciences has become the modality of research in library and information science.

The new emphasis reflects a growing demand for utility in library research and a feeling that to be of value, research must sustain external indicators of validity. That is, it must fit into the paradigm of what is known about the question under investigation. In these two elements— utility and validity—many feel that history has failed and they demand more productive, in the sense of "more practical," forms of investigation. The call is for research that will increase librarians' ability to effectively and efficiently approach the decision-making process in order to enhance their ability to provide information services. But, the demand neglects the necessity for research that can enable librarians to understand why libraries and information services are important to society. The demand for applicability of research results to library problems, accompanied by the perceived ineffectuality of history to produce these kinds of results, has led to a devaluation of history's potential and real role in the research effort.

Library history has too often been viewed in the narrowest sense— as simply the history of libraries. It is usually associated with only the administration and organization of libraries, reference services, technological innovations, and professional questions among other aspects of professional activity. But it is more than that. Libraries contain books, periodicals and whatever else a librarian determines might be a correct and proper information source and service to a reader. Research in library and information science includes both the media collected and organized and those who use these media. Thus, the history of books and printing and that of other media, the history of the library as an institution, and the history of the use made of materials and libraries are all topics within the legitimate domain of library history.<sup>5</sup> If the label, "library research," is applied to research into the operation of politics on library development, the publishing patterns in subject literatures, the

reading and information gathering habits of selected populations, or any other topic that impinges however tangentially on the profession, then historical aspects of these phenomena must be allowed as "library history."

The condemnation of library history as "mere antiquarianism" is only valid if the short view of history is held. History is essentially a research method—not a subject. It is only limited in what it can investigate to that which any form of library and information science research would consider as legitimate problems. Each of the subjects under investigation by survey research, case study, experimental design, or any other method have historical aspects that need to be thoroughly understood in order for the problem to be completely researched.

### *The Nature of Library History*

The study of libraries differs in several fundamental ways from other institutional studies in the nature and substance of the decision-making process. The real measure of the success or failure of a library derives from its ability to deliver to the user a specific bit of information or a specific item. The typical demand on the services of an information source is either a specific request for a single fact or book, or for "something about," or for "information on." These are diffuse demands placed on a diversity of resources. Other institutions provide a far more limited array of services in response to far more restricted sets of demands. As a consequence, their individual decisions are more crucial to the enterprise than those of libraries. The decision of Ford to build another Edsel could end the enterprise entirely. The decision of a library to add a second copy of a \$20 book or to drop a subscription to Time will not make that sort of impact. It may, twenty years from the decision, make one reader somewhat dissatisfied that the library's subscription did not extend back that far, but interlibrary loan can supply the item if it is crucial enough.

While business histories, governmental histories and other institutional histories must focus on the major turning points of the organization's life, library history consists of a series of relatively inconsequential individual decisions that cumulate to form the reputation of the contemporary institution. "We are what we can deliver" is a truism in the library world. What a library and information center can deliver, though, is only what is actually in the collection or what it has access to through a variety of cooperative forms of networking and interlibrary loan mechanisms, all of which have evolved over time. The measure of success, then, is a measure of user satisfaction with a decision that may have been made years earlier by a long forgotten reference, acquisition, collection development, or any other librarian in whatever capacity.

One may object that there are major decisions in libraries and, of course, there are. The decisions made are major in that they sometimes involve large amounts of money and frequently commit the library to a specific course for a long period of time. But the effect of the Dewey or LC classifications on user satisfaction or the relative merits of various automated systems used in circulation control have never been effectively evaluated in terms of user satisfaction. The informed guess that adequate access to materials would be more important to users than the relative merits of exit control systems is strong enough to indicate that what many librarians consider "major" decisions are relatively insignificant housekeeping functions to most library users. People who enter libraries can use any or all of these systems. System failure occurs when users are not allowed access to what they want or at least to what they think they need. This failure of libraries to respond to user demands is most often determined not by any major management decision, but by the simple decision to acquire a title or to give it a specific subject heading. Because of the cumulative nature of decision-making and the effect of these decisions over time, the nature of the library and other information systems is primarily understandable as an historical phenomenon.

As such, history at least as much and perhaps more than other research methods, provides librarians with a context. It is only through understanding history that we can begin to make sense of the environment in which we work. The questions of why, for example, a particular library has a strong collection in a particularly unlikely area, such as the Confederate imprints of the Boston Athenaeum, or why a library pursues a particularly aberrant classification scheme, such as the New York Public Library, are historical questions that are unanswerable by any other method. Too frequently, library collections and services are incomprehensible in terms of present users and only make sense when we find that the service or collection was begun by an early

librarian in response to some real or imagined need—or simply as a "hobby horse" the librarian happened to ride.

### *Rhetoric and History*

A central difficulty with determining the usefulness of historical research is one of understanding the way in which it convinces the reader of its essential truth. In history, little beyond the purely factual can be proven or disproves absolutely—and that only as far as the records are complete and accessible. Historians can only describe and arrive at general conclusions about their data. History rarely offers the opportunity to apply elaborate or even the simplest statistical tests to data to convince readers of the validity of the findings. Historians convince—or fail to convince—their audience not by elaborate numerology, but by the facts at their command and their ability to argue persuasively, ever conscious that they may have missed something and that the nature of historical records only allow, at best, a partial picture of the reality of past events.

The formal discipline of history has made fruitful use of statistical techniques. Even so, there is much controversy surrounding the reinterpretation of data collected for other purposes and a genuine concern over the possibility that some statistical data may well be a distortion of the actual historic facts.<sup>6</sup> Much of what are significant features of our daily existence will leave little or no record for the future historian. Examples of this abound in research into the past. The familiar example of unpaved country roads of the nineteenth century having three rather than the two tracks of those of the twentieth century is only one manifestation of this. Future historians of library and information science may well have to deal with the decay of printed material, of recorded sound, and other forms, so that by 2050, it may be legitimate to assume that those publishers who are conscious of the permanence their products may represent the total record available to the historian. The tremendous numbers of currently popular materials that are prone to self destruction because of their physical composition—such as regency romances, parapsychology, science fiction, and self-help books—will be unrecorded as part of our library collections. The practice used in many public libraries of circulating mass-market paperbacks on a "trust system" rather than integrating them into the general collection will leave no records, and thus the future historian will have little with which to determine the actual pattern of circulation.

Other records that do exist will indicate use. The circulation of popular romances cannot be documented in the records of libraries, but other popularity measures can be determined by the published statistics of the industry trade journals, the records of publishers and perhaps in the accounting records of distributors that supply the reading racks of bus stations and convenience stores.

The careful and judicious use of these sorts of records can enable historians to explore and frequently explode "some long-cherished generalizations about the past [that] had suddenly achieved the poetic status of a free-floating fantasy." Though library history would seem a study receptive to statistical analysis, there have been few attempts to use "cliometrics" to investigate library problems.

Individual historical works are frequently dogmatic in their asserting of a final word. But this is, in good history, merely a rhetorical device used to convince the reader, and perhaps also the writer, of the value and importance of the work, particularly when the record may be incomplete or conflicting. Though the ultimate validity of history must, of course, rest on the facts unearthed by the historian, the writer's task to make sense of the data allows a great measure of individual discretion in interpretation and conjecture. Historical research and writing is meaningless without the rhetorical devices used by the historian to provide continuity to what, without these devices, would be miscellaneous and disjointed fragments of fact.

Historical rhetoric, like historical research itself, functions because it is provocative rather than precise and evocative rather than definite. Historical research cannot approach the total control of variables—or even identify the variables—that other research methods attempt. It can only function through the information available and that cannot be controlled by the historian. The imprecision of available data must be augmented by the imagination and talent of the historian so that the whole that the historian presents to the reader makes sense. The historian must frequently abandon or go beyond the fact and speculate on its meaning through

rhetoric. Historians "deliberately choose a word or a phrase that is imprecise and may turn out to be ambiguous, because of its rich aura of connotation." The sacrifice of precision for the images that rhetoric can produce is a unique contribution of the historical method to research in library and information science for "it is the best means a historian has for formulating and communicating what he knows. " <sup>8</sup>

Comprehensive understanding of the totality of human experience is clearly impossible, so historians are forced to select aspects of behavior to order their search for truth and, consequently, remove themselves further from that truth. But, by doing so, they make their data and conclusions manageable and meaningful in terms of their limited scope and purpose. Why librarians became librarians or left libraries or why they accepted their working conditions are, for the most part, unknown. At best, researchers can survey contemporary librarians to ascertain their attitudes toward their work and their relation to their feeling of a "profession." But, these can only offer a partial view of the reality that constitutes librarianship. To get at the reality, the researcher must understand what actually moved women or men to accept the calling and what motivated them to commit themselves to it. The nature of the evidence is such that historians have to work from slight data to what can only be, at best, a tentative whole; and they must convince not from statistical inference, but from argument.

### *Big History/Little History: The Question of Historical Significance*

The history of individual libraries as the modal form of library history has come under challenge in recent years, but it is no more a challenge than formal academic historians have presented to the emergence of local history as a specific area of study. The argument that the history of a local institution or geographic region is so limited in scope as to be irrelevant to the larger uses and needs of history begs the question of what precisely is a larger use of history.

The formal academic discipline of history has largely resolved its own discomfort with limited topics through the establishment of a special form of "local history" that has its own internal justification of reputability; library history as yet has been unable to do so. Too much library history is written without reference to the larger American society, without an awareness of current historiographic assumptions, and seemingly as an exercise in the amassing of details to the disparagement of meaning. Indeed, in much library history we are handed the minute details of buildings, benefactors, and books, "but were it not for the names of people and places it might as well be in Timbuktu for all the attention that is given to the general background." <sup>9</sup>This lack of a context for the history of libraries and of librarianship has led our research into a morass where a few high promontories of meaningful work have jutted above the general despondent slough.

It has been widely maintained that the manner in which much library history has been created has amounted to a trivialization of the role of the library. Like the early local historians whose efforts confounded the professional historians, library historians have been "content to heap up all the facts they could discover, without order, art, or method, and with no criterion for distinguishing the trivial from the significant." <sup>10</sup> The motive for library history frequently has not been for the solid purpose of true historical understanding, but more often for "ornament which is nice to have on the edifice, but really not very useful."<sup>11</sup> Thus the nature of most library history has been an accumulation of facts and dates having little or no obvious relation to the larger issues facing librarianship.

Despite these criticisms of "Little History," it must be admitted that history progresses incrementally and it is the nature of library decision-making that the increments available for study are small ones. Maurice Tauber and Louis Round Wilson, in their classic text on academic library administration, addressed the incremental nature of library history when they observed that "only through a series of histories of individual libraries will it be possible to write a comprehensive chronicle of American university libraries and of their role in higher education."<sup>12</sup> This observation can, of course, be extended to any type of library. The idea is that the accumulation of a large number of individual library histories upon which a synthesis can be based is necessary to the completion of any broadly-based study. A major problem with contemporary library history seems to be that there is little recognition that the information contained in individual library histories adds to the body of knowledge from which a larger perspective can be synthesized. There have been a few attempts to work from

the shoulders of others to attack a broader history of libraries, but the available results have not been particularly successful. The work of Jesse Shera, Sidney Ditzion, and Arthur Hamlin, among others, stands as a monument to an attempt to create a new "frontier thesis" for library history. But beyond these, the work of those who write Little History has not been used to expand our larger historical consciousness.

Yale historian Jack H. Hexter addressed the problem of historical significance and utility in his *History Primer*. Early historians schooled in the Germanic traditions of Von Ranke envisioned a total, universal history based on historians integrating the individual pieces into a coherent unity that would constitute an ultimate form of historical truth. The modern historian has set a more modest and, perhaps, a more attainable goal that recognizes the limitations of humanity and the historian:

The notion that at this late date history is likely to rescue mankind from the impending ultimate consumation of its propensity for self destruction is not one likely to commend itself to a moderately skeptical mind. It is indeed grasping at a straw; but then in the past by grasping at enough straws and somehow patching them together, groups of men have managed to keep themselves afloat, and it is just barely possible that we (all mankind this time) can do it again. If keeping mankind afloat seems at all worthwhile doing, any straw that helps in the least to prevent the enterprise from sinking is worth adding to the too scant mass.<sup>13</sup>

The raft of library and information science may not be that leaky, but frequently small pieces of "approximate truth" are better than no truth at all and attempts by other methodologies to sort out truth suffer from the same difficulty because of their inability to control an environment in which variables are measured, recorded and evaluated.

Much of the notion that the larger issues are more amenable to "research" status than smaller questions can be attributed to the urge for "scientific" research. One of the more influential workers in the shaping of library research methodology has been Herbert Goldhor at the University of Illinois. Goldhor's *An Introduction to Scientific Research in Librarianship* has become a standard. However, his emphasis on hypothesis testing over other less rigorous types of investigation has led to a demand for control in research design that militates against the vague formulation of hypotheses that is too common in history. Moreover, his insistence that "history is not written for its own sake, but to serve as a guide or help for men in handling problems today and tomorrow"<sup>14</sup> runs directly counter to the basic principle of the academic historian that historical research does indeed serve as its own justification.

To limit historical research to that which is "generalizable" is to limit it to the realm of Big History and many valid and needed topics are ignored, disuaded, or, perhaps worse, undertaken as a sort of "antiquarianism" to commemorate the first century celebration of some library. Some outstanding individual library histories have arrived at the realm of Big History. Phyllis Dain's history of the New York Public Library<sup>15</sup> is an excellent example. But her work succeeds in the larger context because of the importance of the institution. Had this been the history of the LaCrosse, Wisconsin or the Malabar, Florida public library, it would not have received the respect it so deserves no matter how well it had been executed.

The use of the hypothesis in library history does not preclude Little History—the group of individual library histories that make up the majority of the literature in the field. The kind of local library history called for by Tauber and Wilson provides the data collection without which larger perspectives could not be developed, but further, it offers test cases for the hypotheses that are developed by the larger perspectives. In none of the social sciences is an hypothesis concretely and forever proven. It is in the nature of the work that any conclusion must be tentative and serve as a guide for future research. History, and, especially, library history is no exception.

Even broad-perspective history must recognize that historians cannot be absolutely certain that all the data has been found and that they have made sense of it. The nature of history requires a constant investigation of previous conclusions both in the large terms of movements and meanings and the testing of the hypothesis in smaller instances. It is of course, unfortunate that most local library history fails to come to terms with the

findings of the larger library world even though local library history can offer the researcher some tentative guideposts and does much to make obscure information accessible.

Recent research has left us with a plethora of hypotheses that need further verification. As reaction to Michael Harris's revisionist interpretation of the public library movement<sup>16</sup> and criticism of the work of Jesse Shera and Sidney Ditzion indicates, the current health of library history has improved since the period when the interpretation of public library development was posited on a progressive view of American History. A basic reevaluation of the assumptions that underlay the work of most serious library historians in the 1970s has begun a process that may well retailor library history.

We now have several theoretical frameworks which we can begin to test on individual libraries. The work of Dee Garrison, for example, provides a view of the feminization of American public librarianship and its consequent effect on the developing profession which must be at least acknowledged by all future researchers.<sup>17</sup> While plausibly argued, it is a vision that must be tested against the reality of the past of the public library. The best of library history provides us with the content by which we may avoid the narrow antiquarianism that characterizes Little (library) History. It offers a point of focus that could give the history of one library meaning in a larger context and thus rectify the too pervasive failure of library history to go beyond the immediate facts of the local historical record.

### *Sources for Library History*

The data upon which historical analysis rests generally fall into two classes of documentary records—primary and secondary. Primary sources compose the evidence closest to the event under investigation. These documents usually are manuscript diaries or letters but they can be printed reports of the events as recounted by observers or participants. Secondary sources are usually printed reports of the event that use primary and other secondary sources as bases for data collection and are reported by a person other than a direct observer or participant in the events.

Historians recognize sharp distinction between primary and secondary sources, but in actuality, the distinction is not as precise as might be supposed. Samuel Swett Green's *The Public Library Movement in the United States 1853-1893* and, more recently, Arthur T. Hamlin's *The University Library in the United States*<sup>18</sup> both are examples of books written by men who supplement their own direct experience and participation in the events and phenomena described with written sources, both primary and secondary. As such they must be evaluated in parts based on the documentation upon which each section builds. Further, in some forms of historical analysis, the secondary source becomes the focus and thus gains the authority of primary evidence. A good current example of this is Stephen Karetzky's analysis of the work of the early faculty of the University of Chicago's Graduate Library School, in *Reading Research and Librarianship: A History and Analysis*,<sup>19</sup> in which the research produced by the Graduate Library School's faculty became the primary resource of study.

While the emphasis of most historical research is on the discovery and use of primary sources, in many cases the existence of a body of published proceedings, such as those of the American Library Association, represents a primary resource for the collective values of a profession that cannot be overlooked by the library historian. *Library Journal* and the *ALA Bulletin/American Libraries* represent, in a real sense, sources of "official" positions of American librarianship on a diversity of issues, some of which are only tangential to what is generally recognized as its professional domain. As such, these official positions assume an importance far greater than that of the individual librarians of the ALA committees that generate them. They do, in a real sense, determine the set of "social usages, beliefs, and current ideas [that] are imposed on individuals automatically" which Julian Marias has called "vigencia," the network of "binding custom" that defines membership in a particular society.<sup>20</sup>

The normative activities of the professional schools and library associations, and the communication we have with other librarians, to a great extent determine or at least strongly influence librarians, professional reactions to their functions in society. It is obvious that every librarian did not and does not subscribe to the mores and

culture of librarianship. This can be seen in individuals, letters-to-the-editor when issue is taken with positions stated in prior articles. But the commitments to intellectual freedom, to faculty status for academic librarians, to the importance of school libraries in the education effort, and to any number of other attempts to define the librarian's job and professional status are rarely seriously challenged in the library press.

Early education for librarianship recognized the issue through its distinction between and occupation and a profession and in its insistence on a particular "kind" of person acceptable as a "professional" librarian. Melvil Dewey attempted an early definition of the type of person fit for this calling in his assertion that education for librarianship could never train the "complete" librarian. He made an explicit distinction between what schools could do—train librarians in the housekeeping activities—and what they could not. They could not prepare librarians at what Dewey visualized as the "moral" plane of existence, "where the librarian puts his heart and life into his work with as distinct a consecration as a minister or missionary and enters the profession because it is his duty or privilege." It was at this higher level that Dewey and other early educators who were his followers placed the true profession of librarianship.<sup>21</sup> By subscribing to this sentiment, library educators did little but teach the library hand, accessioning, and other mechanical tasks, relying on the schools' admissions policies to ensure that only the truly committed person was allowed to participate in the profession.

In this way, the personal characteristics of the individual librarian became a primary determinant of the professional focus of librarianship. But, if this were a fundamental truth, it would seem logical that biography should be a major emphasis in library research. Aside from an armload of good, competent biographical studies—among them those of Marion Casey on Charles McCarthy, Edward Holley on Charles Evans, William Williamson on William Frederick Poole, Laurel Grotzinger on Katharine Lucinda Sharpe, and Edward Miller on Antonio Panizzi<sup>22</sup>—there are few that merit attention as more than eulogies. The recent publication of the Dictionary of American Library Biography<sup>23</sup> has helped, but the nature of a compendium of short biographical sketches cannot provide what is needed—a substantial body of work on important and even unimportant librarians that can add substantially to our knowledge of how generations of librarians viewed, performed and realized what they considered their professional role in society.

It is unfortunate that the problem of obtaining source materials for library biography is so difficult. It is even more so that the potential publishers for finished biographies are so meager. The fact is that library biography simply is unpopular. Librarians are not great warriors, inventors or movers in the world. Rather, they contribute to the innumerable decisions that accumulate to form the reputation of a library. As British library historian James G. Ollé has observed: "The public will always be more inclined to read the life of a libertine than a librarian, whatever its literary merit. Casanova was both, but not (unfortunately for library biography) at the same time."<sup>24</sup> We hope that most librarians are at least as "libertinarian"—or at least as interesting as the rest of humanity—but the romance of a giant is much more likely to be a commercial success than that of the common man or woman. At the 1854 conference of librarians, Charles Coffin Jewett expressed his view of the public persona of the librarian when he observed in his opening remarks that "we are not here for stately debate, for conspicuous action, much less for an exhibition of ourselves. These are things foreign from our vocation, and not congenial with our tasks."<sup>25</sup> The readership of biographical work on librarians has probably found little to contradict this since then.

Library biography is such that even those who break the mold of self-effacement are seldom seen. It took sixty-two years for the story of Klas August Linderfelt to emerge from ALA's darkest closet.<sup>26</sup> But Linderfelt was an ALA president. Of equal interest to those who would know the mind and heart of the librarian is the tale of John W. Harbourne, librarian of the Alameda California Free Library. In 1898, Harbourne absconded with \$2,300 of the library's funds to the Klondike where, after "taking up promising mining claims," he wrote back to the trustees promising "to make good all shortages within a year."<sup>27</sup> It must be added in defense of library education that Harbourne's preparation for this position was eighteen years, experience as a San Francisco bookseller.

Harbourne was an atypical librarian and the record is as quiet on his eventual fate as it is on that of most librarians, for most are and were committed to professional service and as unobtrusive as Jewett. Whatever the immediate implications of this unobtrusiveness, it does point to an attitude of librarians that their own records are of less value than those they keep for others.<sup>28</sup> A major part of the occupation of librarians is that of keeping the records of others, whether in printed form, or manuscript or whatever. Most good librarians would consider a laundry list of Albert Einstein or Henry James a major acquisition, but upon their retirement or earlier, they would discard as trash their own drafts of their speeches accepting the presidency of ALA.

The availability of primary sources and, in many cases, that of secondary sources for biographical treatment of librarians or for the treatment of an individual library is usually problematic. Few libraries keep adequate records to verify the published memoirs of a Keyes Metcalf or Sydney B. Mitchell. Libraries are excellent at keeping records of housekeeping statistics, but the information that would make history real and meaningful is too often lacking. Why was one librarian hired over another or one book purchased rather than another? What was the role of the trustees, the mayor or the faculty in selecting a library director? What were the events in the power struggle that led to the firing or resignation of the last library director? All these are basic questions in the life of any library that remain largely unanswered and usually unmentioned in the sketchy archives of most libraries. It is most unfortunate, but the situation exists that the record of the hopes and aspirations of generations of librarians has been essentially lost. It must be said, though, that this situation is not unique to library history.

To some extent this problem is being addressed by ALA/LHRT's oral history census project that seeks to compile a directory of the various oral history interviews of librarians that are held in collections around the country. It is unfortunate that these are so sparse. There is a pressing need for a coordinated oral history project that could give direction and control to the desultory efforts that have been carried on through the passing interests of library school faculty members and students. The idea has at least gotten as far as discussion at the 1983 LHRT business meeting at ALA's Los Angeles conference where Doris Cruger Dale of Southern Illinois University reported on the status of her project to compile a directory of oral history interviews of librarians.

While the possibility of interviewing the early leaders of ALA and other librarians of the time is lost, there are still many living librarians who made their professional contributions in the first half of the twentieth century. This represents a potential resource of tremendous value for the future library historian. Used in conjunction with archival materials, printed primary and secondary sources, and other oral history interviews, these promise a new horizon in resources for library history.

The recent publication of the National Catalog of Sources for the History of Librarianship as a supplement to the Guide to the American Library Association Archives<sup>29</sup> is a major breakthrough in the problem of identifying primary source collections for library history. This "handlist" had, of necessity, to ignore the archival collections of thousands of individual libraries, and according to Marion Casey's introduction, it had the necessarily modest purpose to "merely indicate starting places at which to begin the quest for the complete story."<sup>30</sup> But this and the ALA Guide do at least give us starting places that did not exist only a few years ago. Use of these guides coupled with logic and the serendipity that is essential to all fruitful historical searches will serve library historians as invaluable aids.

The publication in 1976 of Anne and Melbourne Jordan's author index to Cannons's Bibliography of Library Economy and the work of Larry Barr, Haynes McMullen and Steven Leach in *Libraries in American Periodicals before 1876*<sup>31</sup> have greatly eased the tedium of searching for contemporary materials of the nineteenth and early twentieth centuries. The updating of Cannons's and bringing together librarians, published efforts has made unnecessary the convoluted searches demanded by Cannons's bizarre chronological/ subject arrangement. The Jordans's work has vastly expanded the usefulness of Cannons's basic bibliography. Though the Barr-McMullen-Leach bibliography has not been available long enough for adequate evaluation, it too promises to make a major impact on our approach to the literature of nineteenth-century librarianship.

Secondary sources provide a different sort of problem. History is not a unified discipline—however, it is articulated and organized in academic institutions. It is essentially a research methodology, and secondary sources directly relating to the history of libraries, information centers and all other aspects of the field may be found anywhere. An example of this is the doctoral dissertation of Joseph Borome, the standard biography of Justin Winsor, which was done through the Political Science Department of Columbia University.<sup>32</sup> The recently published bibliography of Michael Harris and Donald Davis<sup>33</sup> has done much to bring together a broad spectrum of secondary sources from diverse disciplines. Though it is far from complete, and like any such effort has minor errors, it is a monument to the tenacity of the bibliographers. The "year's work" series of the *Journal of Library History* will act as a supplement to this most basic of bibliographies.

It must be remembered, though, that libraries are institutions that live in symbiosis with other, larger institutions. The history of these larger institutions and the bibliographic net that supports that work cannot be ignored by library historians. Thus, depending on the historian's interest, Cordasco and Brickman's bibliography of American education<sup>34</sup> must be consulted. These can be ignored by library historians only if they ignore the larger context of libraries as social institutions.

The sources, both primary and secondary, of library history are sparse in some areas and undoubtedly more difficult to access and utilize than those in many other areas of historical research. But, they do exist. While bibliographic work in recent years has made the task of the historian much simpler in identifying sources, it must be remembered that material relevant to any specific project can be found almost anywhere. Persistence in the search must continue far beyond the immediately apparent sources of information.

### *Publishing Library History*

The researcher utilizing historical methods has one advantage over other researchers in the number of potential publishing outlets available. Most library and information science research is limited to a small number of core journals and monograph publishers in the field with only the occasional publication in outside sources of research with direct library implications and applications. History, however, is a generalized field. Any phenomenon is fit for the historian's scrutiny, and there is little or no arcane vocabulary beyond that inherent in the subject of the study itself that would detract from the essential clarity of good historical rhetoric and research. History is accessible to the general reader, and, because of this, library history may be published in a wide variety of sources. The dedication of the November 1983 issue to library history of a magazine such as *Cobblestone: the History Magazine for Young People* points to the wide diversity in potential sources.

Reports of historical library and information science research are relevant to a wide variety of topics of interest to librarians, information specialists, and general historians; and they are published in both the established core research journals and the popular ones, indicating a broad receptiveness to the historical approach in library and information science. But library historians are not limited to these outlets. Virtually every state has its history publication—as well as many more local ones—which would be receptive to competently executed articles on library history. Regional historical journals also abound. While the national historical journals have sizable backlogs, there is nothing inherent in library and information science to prohibit publication of its history in them. Further, types-of-institution journals may serve as sources for types-of-libraries histories. Academic library history may be published in journals of higher education, school library history in elementary and secondary education journals, and special library history in various professional, occupational and trade and industry journals. Other publication interests of historians in the field can be absorbed by such specialized sources as the *Papers of the Bibliographical Society of America*, the *Journal of the American Society for Information Science* and a great number of management journals.

### *Conclusion*

"Library history" is a rubric that covers a myriad of topics associated with libraries and other information systems. Its major form consists of the history of the traditional library, but it also includes the history of any activity or event that might be part of the domain of library and information science. The use and the users of materials, the problems of governance and employment, the production of resources collected and organized by

librarians, and the role of governments in support of information activities are all legitimate concerns of library history just as they are valid objects of other forms of research.

Of the research methodologies in library and information science, history is probably among the most popular and, probably among the least understood. The assumption is made, even on the part of those actively involved in the research that an understanding of history is a luxury compared with the more pressing problems facing the practice of library and information science. History, of course, cannot be written with any different purpose than an understanding of the immediate phenomena at hand. Any other reason for the writing will tend to cast the work in the form of propaganda rather than research. But history does have uses.

First, historical research can help establish the context in which librarians work and it can fulfill their functions in society. The status of women in librarianship, for example, has been a topic of increasing concern in recent years. To fail to understand its historical roots in society and in the establishment of librarianship as a formalized occupation in the late nineteenth century is to underestimate grossly the degree to which attitudes toward women and work have influenced the evolution of librarianship. Substantial advances in our knowledge of this important area can be made through other methods; but without historical depth, research tends to drift off into prescriptive conclusions that do not recognize the tremendous inertia of the surrounding society. The same context is important in other areas such as the status question in academic librarianship, the relationship of the school librarians to the classroom teacher, or the role of the special librarian in research and development and in management.

Second, the details of the history of libraries are significant in and of themselves. To know that a library contains a strong collection of Faulkner material may suffice for most practical purposes, but to know also that it is a public library, that it is in the Northwest serving a population of 5000 and the collection was acquired in the 1950s by a library director who was a personal friend of the author is to approach true understanding of the collection and its purpose in the library. Every library or information delivery system is the product of acquisition, personnel, facility, and other decisions that are made over time. Few collections of enduring value are built by satisfying current demands; and when librarians select some items in anticipation of future users, interests, or when they consider future generations, interests as one aspect of policy making, record keeping or collection development, librarians show their appreciation of their own history.

Lastly, history offers each librarian a direct opportunity to participate in the cooperative research effort. The writing of history requires no facility with esoteric research tools. The proper use of sources requires care, intelligence, patience, and, frequently, pure luck. These are not talents beyond the abilities of most librarians. Further, the dispersion of resources in library history is such that individual librarians, through testing hypotheses suggested by other research on their own records, can make a significant contribution to library and information science research. The existence of numerous journals for the publication of such "little history" should serve as an encouragement. Library historians may not receive credits toward promotion through their research activities, but they can realize their professional commitment through their contribution to our greater understanding of the development of the profession.

## References

1. Shera, Jesse H. "Darwin' Bacon, and Research in Librarianship." *Library Trends* 13(July 1964):147-48.
2. Elton' Geoffrey Rudolph. *The Practice of History*. Sydney, Australia: Sydney University Press, 1967, pp. 32-33.
3. Schlesinger' Arthur, Jr. "The Limits of Social Science." In *American History and the Social Sciences*, edited by Edward N. Saveth' pp. 531-32. New York: Free Press' 1964.
4. Schlachter, Gail A.' and Thomison, Dennis. *Library Science Dissertations' 1973-1981: An Annotated Bibliography*. Littleton' Colo.: Libraries Unlimited' 1982' p. 376.
5. Butler, Pierce. *An Introduction to Library Science*. Chicago: University of Chicago Press, 1933, p. 84.
6. Barzun, Jacques. *Clio and the Doctors: Psycho-History, Quanto-History, and History*. Chicago: University of Chicago Press, 1974' p. 56.

7. Hexter, Jack H. "Some American Observations." *Journal of Contemporary History* 2(Jan. 1967):12. (Some exceptions to this are Margaret Ann Corwin's "An Investigation of Female Leadership in Regional, State and Local Library Associations, 1876-1923." *Library Quarterly* 44(April 1975):133-44' and Wayne Wiegand's unpublished work on the regional origins of the early ALA leadership.)
8. Hexter, Jack H. *Doing History*. Bloomington: Indiana University Press' 1971' pp. 18-19.
9. Kelly, Thomas. "Thoughts on the Writing of Library History." *Library History* 3(Spring 1975):168.
10. Finberg, Herbert P.R. *The Local Historian and His Theme*. An Introductory Lecture Delivered at the University College of Leicester 6 Nov. 1952). (Department of English Local History Occasional Papers, No. 1) Leicester, England: University College of Leicester' 1954, p. 15.
11. Colson, John Calvin. "Speculations of the Uses of Library History." *Journal of Library History* 4(Jan. 1969):71.
12. Wilson, Louis Round' and Tauber, Maurice F. *The University Library: Its Organization, Administration and Functions*. Chicago: University of Chicago Press' 1945' p. 536.
13. Hexter, Jack H. *The History Primer*. New York: Basic Books' 1971, pp. 219-20.
14. Goldhor,14.Herbert. *An Introduction to Scientific Research in Librarianship*. Urbana-Champaign: University of Illinois Graduate School of Library Science' 1972' p. 98.
15. Dain,Phyllis. *The New York Public Library: A History of Its Founding and Early Years*. New York: New York Public Library and Astor' Lenox and Tilden Foundations' 1972.
16. Harris, Michael H. The Purpose of the American Public Library: A Revisionist Interpretation of History." *Library Journal*98(15 Sept. 1973):2509- 14; Harwell' Richard, and Michener' Roger. "As Public as the Town Pump." *Library Journal* 99(1 April 1974):959-63; Dain' Phyllis. "Ambivalence and Paradox: The Social Bonds of the Public Library." *Library Journal* 100(1 Feb. 1975):261-66; Fain, Elaine. "Manners and Morals in the Public Library: A Glance at Some New History." *Journal of Library History* 10(April 1975):99-105; and Harris, Michael H. "Public Libraries and the Decline of the Democratic Dogma." *Library Journal* 101(1 Nov. 1976):2225-30. See also, Harris' Michael "The Role of the Library in Historical Perspective: A Revisionist Interpretation" (ED 071 668). Washington, D.C.: ERIC, 1973; and *The Role of the Public Library in American Life: A Speculative Essay*. (Occasional Papers No. 117). Urbana-Champaign: University of Illinois Graduate School of Library Science' 1975.
17. Garrison, Dee. *Apostles of Culture: The Public Librarian and American Society' 1876-1920*. New York: Free Press, 1979.
18. Green, Samuel Swett. *The Public Library Movement in the United States 1853-1893. From 1876, Reminiscences of the Writer*. Boston: Boston Book Company' 1913; and Hamlin' Arthur T. *The University Library in the United States: Its Origin and Development*. Philadelphia: University of Pennsylvania Press' 1981.
19. Karetzky' Stephen. *Reading Research and Librarianship: A History and Analysis*. Westport' Conn.: Greenwood Press' 1982.
20. Marias' Julian. *Generations: A Historical Method*, translated by Harold C. Raley. Huntsville: The University of Alabama Press, 1967, p. 81.
21. Dewey, Melvil. "Library Employment vs. the Library Profession." *Library Notes* 1( June 1886):50-51.
22. Casey, Marion. *Charles McCarthy, Librarianship and Reform*. Chicago: ALA' 1981; Holley, Edward G. *Charles Evans: American Bibliographer*. Urbana-Champaign: University of Illinois Press' 1963; Williamson' William Landram. *William Frederick Poole and the Modern Library Movement*. (Columbia University Studies in Library Service No. 13). New York: Columbia University Press' 1963; Grotzinger, Laurel Ann. *The Power and the Dignity; Librarianship and Katharine Sharp*. New York: Scarecrow Press' 1966; and Miller, Edward. *Prince of Librarians: The Life and Times of Antonio Panizzi of the British Museum*. London: Andre Deutsch' 1967.
23. *Dictionary of American Library Biography*, edited by Bohdan S. Wynar. Littleton' Colo.: Libraries Unlimited' 1978.
24. 011é' James Gordon Herbert. *Library History*. London: Clive Bingley. 1979, p. 62.

25. "Proceedings of the Librarians' Conference Held in New York City' September 15, 16, and 17' 1853." In *The Librarians' Conference of 1853: A Chapter in American Library History*, by George Burwell Utley and edited by Gilbert H. Doane' p. 137. Chicago: ALA, 1951.
26. Thomison' Dennis. "A.L.A. and its Missing Presidents." *Journal of Library History* 9(Oct. 1974):362-66; Wiegand' Wayne A. "The Wayward Bookman: The Decline' Fall' and Historical Obliteration of an ALA President." *American Libraries* 8(March 1977):134-37; and "The Wayward Bookman: The Decline, Fall, and Historical Obliteration of an ALA President. Part II." *American Libraries* 8(April 1977):197-200.
27. "Library Economy and History." *Library Journal* 24(Jan. 1899):32; and Harbourne, John W. "The Guileless West on ,Weeding Out,,: A Reply to Mr. Stevenson,s Article." *Library Journal* 22(May 1897):252.
28. Ditzion' Sidney H. "The Research and Writing of Library History." In *Toward a Theory of Librarianship: Papers in Honor of Jesse Hawk Shera'* edited by Conrad H. Rawski, p. 65. Metuchen, N.J.: Scarecrow Press, 1973.
29. *National Catalog of Sources for the History of Librarianship*. Chicago: ALA, 1982; and Brichford, Maynard J. *Guide to the American Library Association Archives*. Chicago: ALA, 1979.
30. *National Catalog*, p. 5.
31. Jordan, Anne Harwell' and Jordan, Melbourne. *Cannons' Bibliography of Library Economy, 1876-1920: An Author Index with Citations*. Metuchen, N.J.: Scarecrow, 1976; Cannons, H.G.T. *Bibliography of Library Economy: A Classified Index to the Professional Periodical Literature...from 1876 to 1920*. (reprinted 1927). New York: Burt Franklin, 1970; and Barr, Larry J., et al. *Libraries in American Periodicals Before 1876*. Jefferson, N.C.: McFarland, 1983.
32. Borome' Joseph Alfred. "The Life and Letters of Justin Winsor." Ph.D. diss., Columbia University, 1950.
33. Harris' Michael H.' and Davis' Donald. *American Library History: A Bibliography*. Austin: University of Texas Press, 1978.
34. Cordasco' Francisco, and Brickman, William W. *A Bibliography of American Educational History: An Annotated and Classified Guide*. New York: AMS Press' 1975.