Pannorin B, a New Naphthopyrone from an Endophytic Fungal Isolate of Penicillium Sp.

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Abstract:

The herbal remedy, milk thistle (*Silybum marianum*), has been used in traditional medicine for various liver, kidney, and gall bladder ailments. For over a decade, our research group has been investigating the flavonolignans obtained from this medicinal herb for cancer chemoprevention and hepatoprotection.[1-6] Recently, we extended our studies toward examining the diversity as well as distribution patterns of fungal endophytes in leaves, stem, and roots of milk thistle.[7] These fungi inhabit the internal living tissues of the host plants asymptomatically, though they may also cause disease over time.[8] In addition to the phylogenetic profiling of these endophytes, a series of fungal extracts were also examined for chemical composition. Although the plant–endophyte relationship may or may not be mutualistic, the compounds produced by some endophytes could play a role in the growth and survival of the host. In a previous study, *Penicillium restrictum*, isolated from milk thistle, yielded promising secondary metabolites.[9] Hence, in pursuit of interesting chemistry, a related monoverticillate endophytic *Penicillium* sp. was explored.

Keywords: NMR | 1H NMR | 13C NMR | fungal endophyte | secondary metabolite | milk thistle | Silybum marianum | Penicillium sp

Article:

Introduction

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well as distribution patterns of fungal endophytes in leaves, stem, and roots of milk thistle.[7] These fungi inhabit the internal living tissues of the host plants asymptomatically, though they may also cause disease over time.[8] In addition to the phylogenetic profiling of these endophytes, a series of fungal extracts were also examined for chemical composition. Although the plant–endophyte relationship may or may not be mutualistic, the compounds produced by some endophytes could play a role in the growth and survival of the host. In a previous study, *Penicillium restrictum*, isolated from milk thistle, yielded promising secondary metabolites.[9] Hence, in pursuit of interesting chemistry, a related monoverticillate endophytic *Penicillium* sp. was explored.

Results and discussion

Natural products chemistry studies of an extract from solid-substrate fermentation cultures of G324, which was isolated from leaves of milk thistle and identified as a *Penicillium* sp. (see Supporting Information and Figs. S6 and S7), afforded one new naphthopyrone, which we have termed pannorin B (**1**), and four previously known metabolites [O-methyldihydrogladiolic acid (**2**), 10,20-dehydro[12,13-dehydroprolyl-2-(1,1-dimethylallyltryptophyl)diketopiperazine] (**3**), 12,13-dehydroprolyl-2-(1,1-dimethylallyltryptophyl)diketopiperazine (**4**), and deoxybrevianamide E (**5**)] (Fig. 1).[10-12] The known compounds were identified by favorable comparisons of their NMR and MS data to the literature.
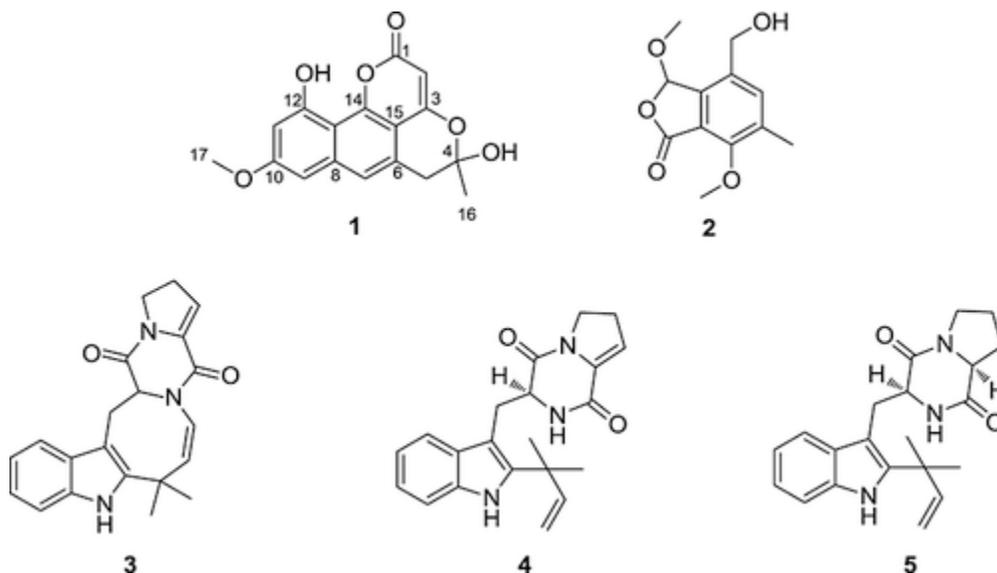

Figure 1 Structures of compounds **1–5**.

The molecular formula of pannorin B (**1**) was determined to be $C_{17}H_{14}O_6$ (index of hydrogen deficiency of 11) on the basis of HRESIMS data. The ^1H NMR spectrum (Table 1 and Fig. S1) displayed three aromatic signals, an olefin signal, a methoxy singlet, one methyl singlet, and a pair of doublets at δ_H 3.17 and δ_H 3.26 ($J = 16.8$ Hz); the latter were characteristic of isolated diastereotopic methylene protons. Two singlets (δ_H 7.45 and δ_H 10.34), corresponding to exchangeable protons (4-OH and 12-OH), were also observed. In addition to the signals expected for the structural features discussed above, the ^{13}C NMR data (Table 1 and Fig. S2) revealed the presence of one quaternary sp^3 carbon (δ_C 101.4; C-4), one ester group (δ_C164.5; C-1), and eight

non-protonated sp^2 carbons, suggesting a highly conjugated ring system as supported by the UV data (λ_{max} 363, 319, and 271 nm). The NMR chemical shifts for meta-coupled aromatic protons (δ_H 6.85, δ_C 98.8; C-9 and δ_H 6.61, δ_C 101.9; C-11) indicated the presence of oxygenated substituents on carbons adjacent to these positions (δ_C 160.3 for C-10 and δ_C 156.7 for C-12). Key HMBC correlations (Table 1 and Figs. 2 and S4) from H-9 to C-10, C-11, and C-13 (δ_C 107.7), as well as from H-11 to C-9, C-10, C-12, and C-13 supported a tetra-substituted aromatic ring. A three-bond correlation from H$_3$-17 to C-10 confirmed the position of the methoxy group. HMBC correlations from H-9 to C-7 (δ_C 121.0) and from H-7 (δ_H 7.37) to C-13 and C-15 (δ_C 104.6) suggested the presence of a highly substituted naphthalene-type ring system. The methyl singlet (H$_3$-16) showed HMBC correlations to methylene C-5 (δ_C 38.1) and a doubly oxygenated quaternary C-4 (δ_C 101.4). The position of the hydroxy group at C-4 was confirmed by HMBC correlations from 4-OH(δ_H 7.45) to C-4 and C-5. Additional HMBC correlations from H$_2$-5 to C-4, C-6 (δ_C 127.9), C-7, and C-15 connected this partial structure to the fused bicyclic ring system. The remaining olefinic proton, H-2 (δ_H 5.66), showed HMBC correlations to carbonyl C-1, C-3 (δ_C 162.2), and C-15, suggesting a pyrone ring *via* linking the oxygen atom to C-14. Finally, the ether linkage between C-3 and hemiacetal C-4 was supported by chemical shifts for the respective carbons and accounted for the last remaining unsaturation, thus completing the gross structure of **1** (Fig. 1). Unfortunately, the absolute configuration of **1** could not be determined because the sample exhibited no optical activity, suggesting the presence of a racemic mixture.

Table 1. ^{1}H (400 MHz) and ^{13}C (100 MHz) NMR data of pannorin B (**1**) in (CD$_3$)$_2$SO

#	δ_H (mult., J)	δ_C	HMBC (H→ #C)
1*		164.5	
2	5.66 (s)	91.4	1, 3, 15
3*		162.2	
4		101.4	
5	3.26 (d, 16.8)	38.1	4, 6, 7 (wk), 15 (wk)
	3.17 (d, 16.8)		4, 6, 7, 15
6		127.9	
7	7.37 (br s)	121.0	5, 8, 9, 13, 15
8		138.9	
9	6.85 (d, 2.4)	98.8	7, 10 (wk), 11, 13
10		160.3	
11	6.61 (d, 2.4)	101.9	9, 10, 12, 13
12		156.7	
13		107.7	
14		151.8	
15		104.6	
16	1.67 (s)	27.2	4, 5
17	3.85 (s)	55.3	10
4-OH	7.45 (s)		4, 5, 16 (wk)
12-OH	10.34 (s)		11, 12, 13

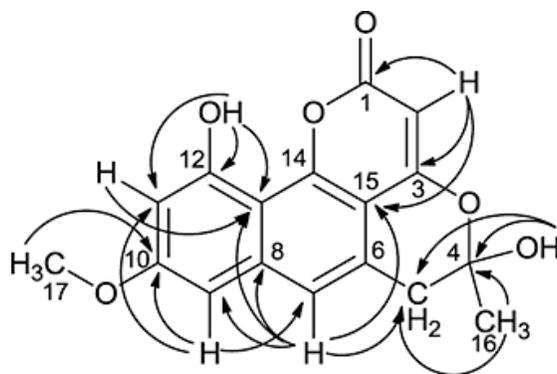* These assignments may be interchanged.

Figure 2. Key HMBC correlations for pannorin B (**1**).

Biogenetically, pannorin B (**1**) appears to be polyketide-derived and possesses a partial structure similar to another fungal natural product, pannorin.[13] Other than the methylation of one of the phenolic hydroxyl groups and the presence of an additional acetyl unit undergoing cyclization to form the fourth ring, compound **1** could be biosynthesized in a manner analogous to the construction of pannorin.[14]

Some of our previous research on compounds from milk thistle has been directed toward prostate cancer chemoprevention.[1-3] Thus, the pure compounds isolated from milk thistle endophytic fungal extracts were examined for cytotoxicity against human prostate carcinoma (PC3) cells, exactly as described in detail previously.[7] Compounds **1**, **3**, and **5** were tested in this assay but were inactive at 25 μM concentration. Compounds **3**, **4**, and **5** have not been evaluated in the literature for biological activity. However, O-methyldihydrogladiolic acid (**2**) has been reported as phytotoxic to the germination and growth of lettuce,[10] which was interesting given its biosynthesis by a plant endophyte[7]; it was not tested for cytotoxicity in our assays because of degradation over time.

Experimental

General experimental procedures

Optical rotation data were acquired on a Rudolph Research Autopol III polarimeter (Rudolph Research Analytical, Flanders, NJ, USA). UV data were obtained using a Varian Cary 100 Bio UV-vis spectrophotometer (Varian Medical Systems, Palo Alto, CA, USA). HRESIMS data were collected using an electrospray ionization (ESI) source coupled to a LTQ Orbitrap XL system (Thermo Fisher Scientific, San Jose, CA, USA) in positive and negative ionization modes *via* a liquid chromatography/autosampler system comprised of an Acquity UPLC system (Waters Corp., Milford, MA, USA). A CombiFlash Rf system using a 12 g RediSep Rf Si-gel Gold column (both from Teledyne-Isco, Lincoln, NE, USA) was employed for normal-phase flash column chromatography. High-performance liquid chromatography (HPLC) separations were performed utilizing Varian ProStar HPLC systems equipped with ProStar 210 pumps and a ProStar 335 photodiode array detector, using Galaxie Chromatography Workstation software (version 1.9.3.2, Varian Inc.). YMC ODS-A (Waters Corp.; 5 μm; 250 × 10-mm column; semi-preparative HPLC) or Kinetex C_{18} (Phenomenex, Torrance, CA, USA; 5 μm; columns of dimensions 250 × 21.2 mm; preparative HPLC and 250 × 4.6 mm; analytical HPLC) were used

for HPLC. For UPLC analysis, a BEH C_{18} (Waters Corp.; 1.7 µm; 50 × 2.1 mm) column was used with data collected and analyzed using Empower 3 software. The solvents were obtained from Fisher Scientific.

Fungal strain

Whole plants and seeds of *S. marianum* (L.) Gaertn. (Asteraceae) were obtained from Horizon Herbs, LLC (Williams, OR, USA), and a voucher specimen was deposited in the University of North Carolina Herbarium (NCU602014).[7] Four different collections of whole plants and seeds were obtained for the study in 2011 (Lot # 6490; Lot # 6510), 2012 (Lot # 12348), and 2013 (Lot # 6462). A strain (G324) of the *Penicillium* sp. was isolated from the leaves of milk thistle (Lot # 12348). The fungal culture is maintained at the University of North Carolina at Greensboro, Department of Chemistry and Biochemistry Fungal Culture Collection. Details for the isolation, molecular identification, and phylogenetic analysis of the fungal strain can be found in the supporting information and Figures S6 and S7.

Fermentation, extraction, and isolation

The cultures of G324 were subsequently grown on 2% MEA, Potato Dextrose Agar (PDA, Difco), and 2% soy peptone, 2% dextrose, and 1% yeast extract (YESD). For chemical extractions, fungal cultures were grown on rice.[15] To make seed cultures for inoculating rice, a piece of a fresh culture grown in MEA media was excised from the leading edge of the colony and transferred to a liquid medium containing 2% soy peptone, 2% dextrose, and 1% yeast extract (YESD media). Following incubation (7 days) at 22 °C with agitation, the culture was used to inoculate 50 ml of rice media prepared using rice and twice the volume of rice with H_2O in a 250-ml Erlenmeyer flask. This was incubated at 22 °C until the cultures showed good growth (14 – 21 days) depending on the growth of individual species to generate the screener culture. For large-scale production of fungal cultures for chemical extractions, a seed culture was grown in 50-ml YESD media in a 250-ml Erlenmeyer flask for 7 days at 22 °C with agitation. After the seed culture was grown for 7 days, about 10 ml of liquid culture was used to inoculate each of the four flasks of rice, which was prepared in the same manner as the screener scale culture.

To a screener solid-substrate fermentation culture (G324) grown on rice, 60 ml of 1:1 CH_3OH–$CHCl_3$ were added. The culture was chopped into small pieces with a spatula and shaken overnight (~125 rpm at room temperature) using a rotary shaker. The sample was vacuum filtered, and the remaining residues were washed with small volumes of 1:1 CH_3OH–$CHCl_3$. To the filtrate, 90 ml each of $CHCl_3$ and H_2O was added, followed by stirring for 30 min. The organic layer was collected and evaporated to dryness under reduced pressure and partitioned between 100 ml of 1:1 CH_3OH–CH_3CN and 100 ml of hexanes. The CH_3OH–CH_3CN layer was evaporated to dryness under vacuum to yield 70 mg of extract. Scaled-up cultures were extracted by parallel processing of four such flasks using the above protocol to yield a combined 499 mg of crude extract.

The CH_3OH/CH_3CN extract (499 mg) was adsorbed on a minimal amount of Celite 545 (Acros Organics, Geel, Belgium). The sample was dried before loading on a cartridge. This cartridge of

adsorbed material was placed atop of a silica gel column and subjected to normal-phase silica gel flash column chromatography employing a step gradient elution with hexanes, $CHCl_3$, and CH_3OH (30 ml/min flow rate and 61.0 column volumes). The resulting fractions were combined according to the UV and ELSD data to afford four pools. Pool 4 (96 mg) was subjected to preparative RP-HPLC (gradient elution using CH_3CN in H_2O: 40–80% over 40 min and 80-100% CH_3CN over 10 min; $\lambda = 210$ and 254 nm; flow rate = 21.2 ml/min) affording **2** (7.5 mg, t_R 10.0 min), **3** (3.2 mg, t_R 12.5 min), **1** (6.9 mg, t_R 15.0 min), an impure sample of **4** (5.9 mg, t_R 16.0 min), and **5** (1.7 mg, t_R 17.0 min). Compound **4** could not be recovered in sufficient purity. Purity of **1** was determined by UPLC using a gradient elution of 20% CH_3CN in H_2O to 100% CH_3CN over 4.5 min (Fig. S5). Gradual degradation of compound **2** was observed over time, even upon dry storage. All of the previously known compounds encountered in this extract (**2–5**) were identified by comparison of their ^{1}H NMR, ^{13}C NMR, and/or MS data with literature values.[10-12]

Pannorin B (**1**): Light yellow powder; $[\alpha]^{25}_D$ 0.0 (*c* 0.20, CH_3OH); UV (CH_3OH) λ_{max} (log ε) 363 (3.6), 319 (3.4), 271 (3.5) nm; ^{1}H NMR data [$(CD_3)_2SO$, 400 MHz] and ^{13}C NMR [$(CD_3)_2SO$, 100 MHz] data, see Table 1 and Figs. S1 and S2; HRESIMS obsd. *m/z* 313.0703 [M–H][−] (calcd. for $C_{17}H_{13}O_6$, 313.0718).

O-Methyldihydrogladiolic acid (**2**): HRESIMS obsd. *m/z* 207.0643 [M–CH_3OH + H][+] (calcd. for $C_{11}H_{11}O_4$, 207.0652); ^{1}H NMR data were fully consistent with those reported in literature; the structure was also confirmed by analysis of 2D NMR data (Spectral assignments, Table S1 and Fig. S8).[10]

10,20-Dehydro[12,13-dehydroprolyl-2-(1,1-dimethylallyltryptophyl)diketopiperazine] (**3**): HRESIMS obsd. *m/z* 348.1691 [M + H][+] (calcd. for $C_{21}H_{22}N_3O_2$, 348.1707); ^{1}H NMR data were consistent with those reported in literature; the structure was also confirmed by analysis of 2D NMR data (Spectral assignments, Table S2 and Fig. S9).[11]

12,13-Dehydroprolyl-2-(1,1-dimethylallyltryptophyl)diketopiperazine (**4**): HRESIMS obsd. *m/z* 350.1849 [M + H][+] (calcd. for $C_{21}H_{24}N_3O_2$, 350.1863); ^{1}H NMR data were consistent with those reported in literature; the structure was also confirmed by analysis of 2D NMR data (Spectral assignments, Table S2 and Fig. S10).[11]

Deoxybrevianamide E (**5**): HRESIMS obsd. *m/z* 352.2004 [M + H][+] (calcd. for $C_{21}H_{26}N_3O_2$, 352.2020); ^{1}H NMR data (Fig. S11) were fully consistent with those reported in literature.[11, 12]

NMR data

NMR spectra (^{1}H, ^{13}C, ^{1}H–^{13}C HSQC, and ^{1}H–^{13}C HMBC) were recorded at 25 °C in $(CD_3)_2SO$ on a JEOL ECS-400 NMR spectrometer (399.78 MHz for ^{1}H and 100.53 MHz for ^{13}C; JEOL Ltd., Tokyo, Japan) equipped with auto tune 5-mm field gradient tunable Royal probe (NM-03810RO5/UPG). The ^{1}H and ^{13}C chemical shifts were referenced to the residual solvent peak of $(CD_3)_2SO$ at 2.05 ppm and 39.5 ppm, for ^{1}H and ^{13}C, respectively. The ^{1}H sweep width was set at 5997 Hz for all experiments with a 90° pulse for ^{1}H of 6.4 µs and ^{13}C sweep width 25131 Hz with a 90° pulse for ^{13}C of 11.6 µs. The digital resolution of ^{1}H NMR was 0.37 Hz, and that

of ^{13}C NMR was 0.77 Hz. The edited-gradient ^{1}H–^{13}C HSQC was acquired with ^{13}C sweep width of 16084 Hz and 256 t1 increments. Each increment was acquired with 16 transients. One-bond coupling constant delay was set using 145 Hz, and MPF8 decoupling was applied during acquisition. The gradient ^{1}H–^{13}C HMBC was acquired using 64 transients per increment with 256 t1 increments. A sweep width of 20105 Hz was used for the ^{13}C dimension. One-bond coupling constant of 145 Hz and long-range coupling constant of 8 Hz were used to set the delays in the pulse sequence.

Acknowledgements

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